| 1  | Radiogenic Neutron Background  |
|----|--|
| 2  | v1.0   |
| 3  | J. Cooley, <sup>3</sup> Q. Hang, <sup>3</sup> DM. Mei, <sup>4</sup> K. Palladino, <sup>2</sup> M. Selvi, <sup>1</sup> S. Scorza, <sup>3,5</sup> C. Zhang, <sup>4</sup> and |
| 4  | (The AARM Collaboration)   |
| 5  | <sup>1</sup> INFN - Sezione di Boloana, Italu  |
| 6  | <sup>2</sup> Department of Physics. Massachusetts Institute of Technology. Cambridge. MA 02139. USA  |
| 7  | <sup>3</sup> Department of Physics, Southern Methodist University, Dallas, TX 75275, USA   |
| 8  | <sup>4</sup> Department of Physics, University of South Dakota, Vermillion, USA  |
| 9  | <sup>5</sup> Karlsruhe Institute of Technology, Institut für Experimentelle Kernphysik, Gaedestr. 1, 76128 Karlsruhe, Germany  |
| 10 | (Dated: November 19, $2015$ )  |
| 11 | Ultra-low-background experiments, generically termed rare-event searches, address some of the  |
| 12 | most important open questions in particle physics, cosmology and astrophysics: direct detection of   |
| 13 | dark matter, neutrinoless double beta decay, proton decay, and detection of solar and supernovae   |
| 14 | neutrinos. Although their detection methods and physics goals are varied, rare-event searches share a  |
| 15 | number of common requirements in order to obtain sensitivity to low-rate processes in the presence of  |
| 16 | an overwhelming rate of environmental radiation, including the need for significant rock overburdens   |
| 17 | to moderate the flux of cosmic-ray muons. This requirement is so generic that an international   |
| 18 | community of underground science has emerged, with dozens of experiments operating in mines  |
| 19 | that vary in depth from a few hundred meters water equivalent (m.w.e.) to many thousand.   |
| 20 | Simulations are used to understand backgrounds caused by naturally occurring radioactivity in the  |
| 21 | rock and in every piece of shielding and detector material used in the experiment. Most important  |
| 22 | are processes like spontaneous fission and $(\alpha, n)$ reactions in material close to the detectors that can   |
| 23 | produce neutrons. A comparison study between two dedicated softwares is detailed. Neutron yields   |

and spectra obtained with Mei-Zhang-Hime and SOURCES4 codes are presented.

58

24

#### I. INTRODUCTION

Reduction of radiogenic backgrounds is one of the most 26 important factors for rare event search experiments in-27 cluding searches for dark matter and neutrino-less dou-28 ble beta decay. These radiogenic backgrounds can be 29 generically classified into two types: electron recoil and 30 nuclear recoil backgrounds. Electron recoil background 31 result from the interactions of gamma rays, electrons or 32 beta particles interacting with the electrons in the detec-33 tor's target medium while nuclear recoil backgrounds re-34 sult from neutrons interacting with the nucleus in the de-35 tector's target medium. In dark matter experiments, the 36 <sup>37</sup> nuclear recoil background is of particular concern because a nuclear recoil from a neutron can be indistinguishable 38 from a nuclear recoil from a WIMP. 30

Neutrons are produced by spontaneous fission,  $\alpha$ -40 n interactions and muon-induced interactions. Muon-41 42 induced neutrons can be reduced by operation the de-<sup>43</sup> tector deep underground and by placing passive shielding <sup>44</sup> around the detector. In addition, muon-induced neutrons <sup>45</sup> can often be recognized by identifying the parent muon in a muon veto. More difficult to deal with are neutrons 46 <sup>47</sup> resulting from  $\alpha$ -n interactions and spontaneous fission  $_{\rm 48}$  from  $^{238}{\rm U},\,^{235}{\rm U},$  and  $^{232}{\rm Th}$  present in the materials the 49 shielding and the detectors themselves. Thus, experi-<sup>50</sup> mentalists must select their materials carefully when de-51 signing and constructing their experiments. This requires 52 that they have accurate simulations of the processes in 53 the materials they are considering.

54

<sup>56</sup> by Mei, Zhang and Hei which we will refer to as the USD 57 code.

# **II. NEUTRON YIELD AND SPECTRA**

59 The measurements of neutron spectra strongly depend <sup>60</sup> on the material and are not straightforward since neu-<sup>61</sup> trons are neutral particles. Their calculations is criti-62 cal to low background experiments such as direct dark 63 matter search experiments. The total neutron yield in-<sup>64</sup> dicates the number of neutrons which are produced or <sup>65</sup> had entered the target whereas the neutron energy spec-<sup>66</sup> trum determines the background events we would expect <sup>67</sup> in the energy range of interest. Both are then needed 68 in order to carry out a complete and reliable neutron <sup>69</sup> background simulation. The evaluation of neutron yields 70 and spectra can be performed via different codes: the 71 modified version of SOURCES4A [1] and the code de-<sup>72</sup> veloped by Mei, Zhang and Hime [2] made available 73 online at http://neutronyield.usd.edu have been consid-74 ered. SOURCES4 code has been modified to extend the <sup>75</sup> cross section for  $(\alpha, n)$  up to 10MeV, based on experimen-<sup>76</sup> tal data, whenever possible, and calculations performed <sup>77</sup> via the EMPIRE code [3] by the group at the Univer-78 sity of Sheffield. SOURCES4A and USD codes calculate <sup>79</sup> neutron yields and spectra from  $(\alpha, n)$  reactions due to <sup>80</sup> the decay of radionuclides. Radiogenic neutrons are due <sup>81</sup> to intrinsic contamination of materials surrounding the <sup>82</sup> detectors of <sup>232</sup>Th, <sup>238</sup>U and <sup>235</sup>U decay chains. Compar-There are currently two codes available for use in such <sup>83</sup> ison study between SOURCES4 and USD codes is car-<sup>55</sup> calculations, SOURCES-4 and a second code developed <sup>84</sup> ried out considering <sup>232</sup>Th, <sup>238</sup>U decay chains in secular

85 equilibrium, although a possibility of disequilibrium can <sup>86</sup> be taken into account: due to migration differently, the 87 long-lived isotopes, <sup>226</sup>Ra, <sup>222</sup>Rn, <sup>210</sup>Po, <sup>228</sup>Ra, <sup>228</sup>Th <sup>88</sup> and the associated decay daughters could be calculated <sup>89</sup> separately, see table I. For both chains, most of the neu-<sup>90</sup> trons are produced by the  $\alpha$  generated in the second part 91 of the chains.

TABLE I. Radiogenic neutron yield (n/s/cm<sup>3</sup>) from ( $\alpha$ , n) reactions in different materials for 1ppb of  $^{238}$ U and  $^{232}$ Th decay chains. Neutron yield have been calculated via the modified SOURCES4A code. viold for 1pph  $(n/s/cm^3)$ 

|                                   | Neutron yield for                                | or 1ppb $(n/s/cm^3)$                             |
|-----------------------------------|--|--|
| Material                          | $^{232}\mathrm{Th}  ightarrow ^{228}\mathrm{Th}$ | $^{228}$ Th $\rightarrow$ $^{208}$ Pb            |
| Stainless Steel                   | $8.3 \cdot 10^{-15}$                             | $4.1 \cdot 10^{-11}$                             |
| Pyrex                             | $1.1 \cdot 10^{-11}$                             | $8.4 \cdot 10^{-11}$                             |
| Hamamatsu PMT                     | $1.7 \cdot 10^{-11}$                             | $1.2 \cdot 10^{-10}$                             |
| Titanium                          | $2.0 \cdot 10^{-13}$                             | $9.3 \cdot 10^{-11}$                             |
| Copper                            | $0.0 \cdot 10^{-11}$                             | $9.5 \cdot 10^{-12}$                             |
| $PE(CH_2)$                        | $4.9 \cdot 10^{-13}$                             | $5.1 \cdot 10^{-12}$                             |
| PTFE $(CF_2)$                     | $6.3 \cdot 10^{-11}$                             | $7.7\cdot10^{-10}$                               |
| ````````````````````````````````` | $^{238}\mathrm{U}  ightarrow ^{226}\mathrm{Ra}$  | $^{226}\mathbf{Ra}  ightarrow ^{206}\mathbf{Pb}$ |
| Stainless Steel                   | $1.0 \cdot 10^{-14}$                             | $3.1 \cdot 10^{-11}$                             |
| Pyrex                             | $5.6 \cdot 10^{-11}$                             | $1.9 \cdot 10^{-10}$                             |
| Hamamatsu PMT                     | $8.9 \cdot 10^{-11}$                             | $2.8 \cdot 10^{-10}$                             |
| Titanium                          | $1.8 \cdot 10^{-13}$                             | $1.0 \cdot 10^{-10}$                             |
| Copper                            | $0.0 \cdot 10^{-11}$                             | $2.8 \cdot 10^{-12}$                             |
| $PE(CH_2)$                        | $2.2 \cdot 10^{-12}$                             | $1.1 \cdot 10^{-11}$                             |
| $PTFE$ ( $CF_2$ )                 | $2.6 \cdot 10^{-10}$                             | $1.6 \cdot 10^{-9}$                              |

92 93

The calculation of the neutron spectra requires as in-94 put the cross-sections of  $(\alpha, n)$  reactions, the probabilities 95 of nuclear transition to different excited states (branch-96 ing ratio) and the alpha emission lines from the radioac-97 tive radionuclides. Both codes consider a thick target: 98 calculation of neutron yields and spectra are carried out ۵Q under the assumption that the size of radioactive sample exceeds significantly the range of alpha. The energy bin 101 size of the  $(\alpha, n)$  calculation is fixed to be 0.1 MeV in 102 USD code while is user dependent in SOURCES4A. 103

Table II lists  $\alpha$ -lines respectively for <sup>232</sup>Th and <sup>238</sup>U 104 decay chains, present in SOURCES4A and USD codes. 105 USD code is missing the lines coming from <sup>222</sup>Ra iso-106 tope in <sup>238</sup>U decay chain which SOURCES4A library is 107 considering. Overall, the branching ratio and the energy 108 lines are in good agreement between the two codes. 109

Cross-sections and branching ratios are required as well 123 110 111 <sup>112</sup> code considers TENDL 2012 [4] to provide ( $\alpha$ , n) nu-<sup>125</sup> carried out for the target nuclides present in the mate-<sup>113</sup> clear cross-sections. TENDL is a validated nuclear data <sup>126</sup> rials contributing the most to radiogenic neutron back-114 library which provides the output of the TALYS [5] nu- 127 ground. Specifically, we refer to materials which com-<sup>115</sup> clear model code system; SOURCES4 cross section in- <sup>128</sup> pose the shielding scheme and the internal detector parts, <sup>116</sup> put libraries come from EMPIRE2.19 [3] calculations <sup>129</sup> such as stainless steel, copper, titanium, borosilicate glass <sup>117</sup> and, for some isotopes, a combination of data measure- <sup>130</sup> (PMTs), PTFE which become important when the ex-<sup>118</sup> ments and EMPIRE2.19 calculations. Also, EMPIRE is <sup>122</sup> ternal flux is attenuated by the shielding. Comparison 119 the code recommended by International Atomic Energy 133 of cross section inputs results in a good agreement for 120 Agency (IAEA). Neither EMPIRE nor TALYS can cal- 134 most of isotopes in both code libraries. For some, such

TABLE II. Alpha lines present in SOURCES4A and USD, and their intensity (BR) for isotopes in the  $^{232}$ Th and  $^{238}$ U decay chains. Only lines with intensity > 1% have been quoted.

|                              | SOURC      | $\mathbf{ES4A}$ | $\mathbf{USD}$ |        |  |
|------------------------------|------------|-----------------|----------------|--------|--|
| Isotopes                     | Line (keV) | BR (%)          | Line (MeV)     | BR (%) |  |
| 238 т т                      | 4151       | 21              | 4.151          | 21     |  |
| U                            | 4198       | 79              | 4.198          | 79     |  |
| 234 <b>T</b> T               | 4722.4     | 28.42           | 4.722          | 28.6   |  |
| U                            | 4774.6     | 71.38           | 4.775          | 71.4   |  |
| $^{230}$ Th                  | 4620.5     | 23.4            | 4.621          | 23.7   |  |
| 111                          | 4687.0     | 76.3            | 4.688          | 76.3   |  |
| $^{226}$ <b>B</b> a          | 4601       | 5.55            | 4.602          | 5.6    |  |
| Ita                          | 4784.34    | 94.45           | 4.784          | 94.4   |  |
| $^{222}\mathbf{B}\mathbf{a}$ | 6241       | 3.05            | na             | na     |  |
| 104                          | 6559       | 96.90           | na             | na     |  |
| $^{218}$ Po                  | 6002.35    | 99.98           | 6.002          | 100    |  |
| $^{214}$ Po                  | 7686.82    | 99.99           | 7.687          | 99.99  |  |
| $^{210}$ Po                  | 5304.33    | 99.99           | 5.304          | 100    |  |
| $^{232}$ Th                  | 3947.2     | 21.7            | 3.954          | 22.1   |  |
| 111                          | 4012.3     | 78.2            | 4.013          | 77.9   |  |
| $^{228}$ Th                  | 5340.36    | 27.2            | 5.340          | 28.5   |  |
| 111                          | 5423.15    | 72.2            | 5.423          | 71.5   |  |
| $^{224}\mathbf{B}\mathbf{a}$ | 5448.6     | 5.06            | 5.449          | 5.1    |  |
| ita                          | 5685.37    | 94.92           | 5.685          | 94.9   |  |
| $^{220}$ Ra                  | 6288.3     | 99.99           | 6.288          | 100    |  |
| $^{216}$ Po                  | 6778.5     | 99.99           | 6.778          | 100    |  |
| $^{212}$ Bi                  | 6051.1     | 25.16           | 6.050          | 26.2   |  |
| DI                           | 6090.2     | 9.79            | 6.0902         | 9.8    |  |
| $^{212}$ Po                  | 8784.6     | 100             | 8.784          | 64     |  |

TABLE III. Radiogenic neutron yield (n/s/cm<sup>3</sup>) for copper and polyethylene materials and for <sup>238</sup>U and <sup>232</sup>Th decay chains. Column (1) and (2) refer to pure USD and SOURCES4A calculation, respectively. Column (3) refers to SOURCES4A calculation with USD  $(\alpha, n)$  cross section libraries. A ratio of the neutron yield is also provided: column (a) refers to the ratio of (2) over (1), whereas column (b) corresponds to the ratio (2)/(3)

|          |                    | Neutron  | Ratio                      |          |             |
|----------|--------------------|----------|----------------------------|----------|-------------|
| Material | Chain              | (1)      | (2)                        | (3)      | (a) (b)     |
| Cu       | $^{238}\mathrm{U}$ | 3.46E-12 | 2.84E-12                   | 2.93E-12 | 0.8 1.0     |
| Cu       | $^{232}$ Th        | 1.11E-11 | $9.49\mathrm{E}\text{-}12$ | 9.18E-12 | $0.9 \ 1.0$ |
| PF       | $^{238}U$          | 9.56E-12 | 1.26E-11                   | 1.64E-11 | $1.3 \ 0.8$ |
| тĽ       | $^{232}{ m Th}$    | 2.87E-12 | 5.28E-12                   | 5.97E-12 | $1.8 \ 0.9$ |

122 perimentally observed.

An extensive comparison between the different crossfor neutron yield and spectra calculations. The USD 124 section libraries used in USD and SOURCES4A has been <sup>121</sup> culate properly resonance behavior which has been ex- <sup>135</sup> as <sup>13</sup>C and <sup>10</sup>B and <sup>11</sup>B the cross sections show discrep-

FIG. 1. Input  $(\alpha, n)$  cross-section for the target isotopes involved in radiogenic neutron calculations for copper and polyethylene. Red markers refers to SOURCES4A inputs, whereas the black markers to USD. From left to right: <sup>13</sup>C, <sup>63</sup>Cu and <sup>65</sup>Cu



136 ancies. To better understand the contribution due to <sup>137</sup> the cross section input library in the radiogenic neutron <sup>138</sup> vield and spectrum we have calculated radiogenic neu-<sup>139</sup> tron yield and spectra for two different materials: cop-140 per, for which the input cross sections in both codes 141 are matching, and polyethylene, for which input cross  $_{142}$  sections of  ${\rm ^{13}C}$  show discrepancies between the codes, <sup>143</sup> details in figure 1. We have considered natural copper  $_{144}$  (70%  $^{63}$ Cu and 30%  $^{65}$ Cu) with a density of 8.96 g/cm<sup>3</sup>;  $_{145}$  polyethylene material (CH<sub>2</sub>) is considered with a density <sup>146</sup> of density is 0.935 g/cm<sup>3</sup>. Estimates are done for 1ppb 147 in <sup>238</sup>U and <sup>232</sup>Th decay chains. Calculations consist of 148 pure SOURCES4A and USD computation and a mixed <sup>149</sup> computation for which we run SOURCES4A algorithm <sup>150</sup> with cross section inputs of USD. Resulting radiogenic <sup>151</sup> neutron yields are quoted in table III. The column (a) of <sup>152</sup> the radiogenic neutron yield ratio quotes the calculation <sup>153</sup> differences between SOURCES4A and USD codes: they <sup>154</sup> show a reasonably good agreement, inside a 50% discrep-<sup>155</sup> ancy. The column (b) refers to the ratio of radiogenic <sup>156</sup> neutron yield resulting from the same algorithm calcu-157 lation (SOURCES4A) but with USD (column (3)) and <sup>158</sup> SOURCES4A (column (2)) input  $(\alpha, n)$  cross-sections. <sup>159</sup> For polyethylene, we can conclude that the input cross-<sup>160</sup> section may account up to a 20% discrepancy in neutron <sup>161</sup> yield. Figure 4 shows radiogenic neutron spectra for cop-<sup>162</sup> per (upper row) and polyethylene (lower row), both from <sup>163</sup> uranium and thorium decay chains, left and right panels 164 respectively.

A comparison of neutron yield and energy spectrum obtained via SOURCES4A and USD codes for different material has been carried out. Results are shown in table IV and figure 4. A qualitative agreement between the two codes is observed. A maximum discrepancy of a factor 2 is found. The energy spectra calculated via SOURCES4A code are in general smoother, without the presence of peaks, feature of USD spectra. FIG. 2. Radiogenic neutron spectra  $(n/MeV/s/cm^3)$  calculated for 1ppb  $^{238}$ U and  $^{232}$ Th decay chains, left and right panels, respectively. First row show copper contribution, lower row polyethylene material. Dotted blu lines refers to pure USD calculations, plain orange line to pure SOURCES4A calculations and dashed green line is the mixed computation for which we ran SOURCES4A algorithm with input cross section of USD code.



TABLE IV. Radiogenic neutron yield  $(n/s/cm^3)$  per material considering 1ppb of  $^{238}$ U and  $^{232}$ Th.

|                                  |                    | Neutron Yield | $d (n/s/cm^3)$ |       |        |
|----------------------------------|--------------------|---------------|----------------|-------|--------|
| Material                         | Chain              | SOURCES4A     | USD            | Ratio | Diff % |
| Common                           | $^{238}\mathrm{U}$ | 3.46E-12      | 2.84E-12       | 1.2   | 20     |
| Copper                           | $^{232}$ Th        | 1.11E-11      | 9.49E-12       | 1.2   | 16     |
| $PE(CH_{a})$                     | $^{238}U$          | 9.56E-12      | 1.26E-11       | 0.8   | -27    |
| $1 \pm (0.112)$                  | $^{232}$ Th        | 2.87E-12      | 5.28E-12       | 0.5   | -59    |
| Titanium                         | $^{238}U$          | 1.04E-10      | 1.99E-10       | 0.5   | -63    |
| Thaman                           | $^{232}{ m Th}$    | 9.29E-11      | 1.24E-10       | 0.8   | -28    |
| Stainloss Stool                  | $^{-238}U$         | 3.10E-11      | 5.95E-11       | 0.5   | -63    |
| Stanness Steel                   | $^{232}{ m Th}$    | 4.05E-11      | 6.80E-11       | 0.6   | -51    |
| Purov                            | $^{238}U$          | 2.30E-10      | 1.61E-10       | 1.4   | 36     |
| I ylex                           | $^{232}$ Th        | 8.66E-11      | 4.59E-11       | 1.9   | 61     |
| Hamamteu PMT                     | $^{238}U$          | 3.48E-10      | 2.45E-10       | 1.4   | 35     |
| mannannsu i mi                   | $^{232}{ m Th}$    | 1.27E-10      | 6.98E-11       | 1.8   | 58     |
| $\mathbf{DTFF}(\mathbf{CF}_{*})$ | $^{-238}U$         | 1.81E-09      | 1.60E-09       | 1.1   | 12     |
| 11112(012)                       | $^{232}{ m Th}$    | 7.76E-10      | 5.42E-10       | 1.4   | 36     |

## 173

174

### III. **GEANT4 PROPAGATION**

176 lations can affect experimental simulations. It has been <sup>177</sup> done for Cu using Geant4.9.5 considering a single Cu can

178 (21760.6 cm3) around 100kg of germanium detector. 179 Borosilicate glass neutron spectrum has been propagated

180 within RAT, utilizing Geant4.9.5, and a cylindrical 45T <sup>181</sup> liquid argon single phase detector surrounded by borosil-182 icate glass mimicking PMTs as well as stainless steel and Simple MC propagation of spectra to check how the 183 a water veto.

175 discrepancies from USD website and SOURCES4 calcu- 184 other simulations?

185

196

### CONCLUSION IV.

- [1] W. Wilson, R. Perry, W. Charlton, and T. Parish, 192 [4] A. Koning, D. Rochman, 186
- Progress in Nuclear Energy 51, 608 (2009). 187
- [2] D. M. Mei, C. Zhang, and A. Hime, Nucl. Instrum. Meth. 194 188 A606, 651 (2009), arXiv:0812.4307 [nucl-ex]. 189
- [3] E.-I. statistical model code for nuclear reaction calcula-190
- tion, https://www-nds.iaea.org/empire218/manual.ps.. 191
- S. van der Marck, J. Kopecky, J. C. Sublet, S. Pomp, H. Sjostrand, 193 R. Forrest, E. Bauge, and H. Henriksson, TENDL-195 2012:TALYS-based evaluated nuclear data library, ftp://ftp.nrg.eu/pub/www/talys/tendl2012/tendl2012.html.
- Koning 197 [5] A. and D. Nuclear Rochman, Re-
- Consultancy 198 search and Group (NRG), http://www.talys.eu/documentation/. 199

FIG. 3. Radiogenic neutron spectra (n/MeV/s/cm<sup>3</sup>) calculated for 1ppb <sup>238</sup>U and <sup>232</sup>Th decay chains, left and right panels, respectively. The ( $\alpha$ , n) reaction contribution is shown in variuos commonly used materials from SOURCES4A in orange and USD in blue. From top to bottom materials are: copper, titanium, stainless steel, pyrex, borosilicate glass (Hamamtsu PMT galss), polyethylene and teflon (PTFE).



FIG. 4. Radiogenic neutron spectra (n/MeV/s/cm<sup>3</sup>) calculated for 1ppb <sup>238</sup>U and <sup>232</sup>Th decay chains, left and right panels, respectively. The ( $\alpha$ , n) reaction contribution is shown in variuos commonly used materials from SOURCES4A in orange and USD in blue. From top to bottom materials are: copper, titanium, stainless steel, pyrex, borosilicate glass (Hamamtsu PMT galss), polyethylene and teflon (PTFE).

